



STEVE MUELLER'S -
SYNDICATE LEGAL SERVICES

INVESTOR PROTECTION /
SECURITIES FRAUD

Syndicate Legal Services – *Investor Protection / Securities Fraud*



SYNDICATE LEGAL SERVICES

Team of:
Attorneys / Lawyers
Law Clerks
Paralegals
Industry Specialists

Syndicate Legal Services protects all of its Clients from Investment Fraud

- Hedge Fund Fraud
- Junk Bond Fraud
- Oil & Gas Fraud
- Ponzi Scheme Fraud
- Fraudulent Private Placement
- Preferred Shares of Stock Fraud
- Stockbroker Fraud & Misconduct
- Unlicensed Securities Salesperson Fraud
- Elder Financial Abuse
- EB-5 Immigrant Investor Program Fraud
- Account Churning
- Breach of Fiduciary Duty
- Fraud, Negligence & Misrepresentation
- Unauthorized Trading
- Pricing Violations
- Unsuitable Investments
- Failure to Protect Assets
- Overconcentration
- Margin Account Abuse
- Excessive Trading
- Brokerage Firm Liability
- Deceptive Broker Acts
- Variable Annuities
- Direct Participation Programs
- REITS (Traded & Non-Traded)
- Front Running
- Mutual Fund Switching
- Pump & Dumb Schemes
- Failure to Execute Trades
- FINRA Rules 3110 & 2090
- Unit Investment Trusts
- Failure to Protect Profits
- Convertible Notes
- Penny Stock Fraud
- Failure to Supervise
- Boiler Room Schemes
- Stuffing Schemes
- And much more...

ABOUT OUR MANAGING PARTNER

Mr. Steven Mueller is a Lawyer, Co-Founder & Senior Managing Partner of **Syndicate Legal Services** (www.SteveMuellerLegal.com). Mr. Mueller has more than twenty-five years of Law Office experience, including (but not limited to) 20 years of experience in Corporate & Investment Banking Law; 15 years of experience in Civil Litigation; 5 years of experience in person injury law, family law, and employment law; and 3 years of experience in Criminal Defense Law.

Mr. Mueller is a true pioneer in the Private and Public Capital Markets industry with more than twenty years of industry experience. Mr. Mueller has extensive experience in Alternative Trading Systems and Stock Exchange Legal & Compliance, structuring private and public securities offerings, and coordinating both public and private securities offerings through a syndicate network of FINRA Registered Broker Dealers, Investment Banks and Registered Investment Advisors. As of today, Mr. Mueller has participated approximate 200 IPOs and Rule 144A Equity Offerings, and nearly 2,500 capital markets transactions.

In addition, Mr. Mueller has completed the following courses: BA in Corporate Finance w/ Minor in Accounting (South Carolina); Law School (California Southern Law School); Three Years Law Office Study Program (LA Law, Beverly Hills); Paralegal & Advanced Paralegal Studies Certificates; FINRA series 6, 65 & 7; California Insurance (Property & Casualty, Life & Health, Commercial, Annuity, Earthquake, Flood & Long-Term Care); California Real Estate Sales; California Residential Mortgage; and California Bail Bonds. Mr. Mueller is also a United States Marine Corps Veteran (95-99, honorable discharge after completion of 4yr. tour of duty).



Mr. Steven J. Mueller
*Lawyer, Co-Founder &
Senior Managing Partner*

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